

**U.S. District Court  
District of Columbia (Washington, DC)  
CIVIL DOCKET FOR CASE #: 1:19-cv-02849-TNM**

NORDLICHT v. SECURITIES AND EXCHANGE  
COMMISSION

Assigned to: Judge Trevor N. McFadden  
Cause: 05:552 Freedom of Information Act

Date Filed: 09/23/2019

Jury Demand: None

Nature of Suit: 895 Freedom of  
Information Act

Jurisdiction: U.S. Government Defendant

**Plaintiff**

**MARK NORDLICHT**

represented by **MARK NORDLICHT**  
245 Trenor Drive  
New Rochelle, NY 10804  
PRO SE

V.

**Defendant**

**SECURITIES AND EXCHANGE  
COMMISSION**

represented by **Carin Marie Cozza**  
U.S. SECURITIES AND EXCHANGE  
COMMISSION  
Office of General Counsel  
100 F Street, NE  
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**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**

Date Filed	#	Docket Text
09/23/2019	<u>1</u>	COMPLAINT against SECURITIES AND EXCHANGE COMMISSION ( Filing fee \$ 400, receipt number 4616100748) filed by MARK NORDLICHT. (Attachments: # <u>1</u> Exhibit, # <u>2</u> Civil Cover Sheet)(ztd) (Entered: 09/25/2019)
09/23/2019		SUMMONS (3) Issued as to SECURITIES AND EXCHANGE COMMISSION, U.S. Attorney and U.S. Attorney General. (ztth) (Entered: 09/26/2019)
09/25/2019	<u>2</u>	STANDING ORDER Establishing Procedures for Cases Before Judge Trevor N. McFadden. The parties are hereby ORDERED to read and comply with the directives in the attached standing order. Signed by Judge Trevor N. McFadden on 9/25/2019. (lctnm3) (Entered: 09/25/2019)
09/25/2019	<u>3</u>	RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint Executed on United States Attorney General. Date of Service Upon United States Attorney General 9/24/2019. RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint Executed as to the United States Attorney. Date of Service Upon United States Attorney on 9/24/2019. (Answer due for ALL FEDERAL DEFENDANTS by 10/24/2019.) (ztth) (Entered: 09/26/2019)
10/01/2019	<u>4</u>	RETURN OF SERVICE/AFFIDAVIT of Summons and Complaint Executed. SECURITIES AND EXCHANGE COMMISSION served on 9/26/2019. (ztth) (Entered: 10/02/2019)
10/24/2019	<u>5</u>	NOTICE of Appearance by Carin Marie Cozza on behalf of SECURITIES AND EXCHANGE COMMISSION (Cozza, Carin) (Entered: 10/24/2019)
10/24/2019	<u>6</u>	ANSWER to <u>1</u> Complaint by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit Exhibit 1 – Plaintiff's FOIA request, # <u>2</u> Exhibit Exhibit 2 – SEC's response to Plaintiff's FOIA request)(Cozza, Carin) (Entered: 10/24/2019)

10/28/2019	<u>7</u>	ENTERED IN ERROR.....ORDER setting an Initial Scheduling Conference for November 25, 2019 at 10 a.m. in Courtroom 2, before Judge Trevor N. McFadden. See attached Order for additional details. Signed by Judge Trevor N. McFadden on 10/28/2019. (lctnm3) Modified on 10/28/2019 (hmc). (Entered: 10/28/2019)
10/28/2019		MINUTE ORDER. Before the Court are a complaint and an answer in this FOIA case. It is hereby ORDERED that the parties shall meet and confer and file a Joint Status Report proposing a schedule for proceeding in this matter. The schedule should address, among other things, the status of Plaintiff's FOIA request, the anticipated number of documents responsive to Plaintiff's FOIA request, the anticipated date(s) for release of the documents requested by Plaintiff, whether a motion for an <i>Open America</i> stay is likely in this case, whether a <i>Vaughn</i> index will be required in this case, and a briefing schedule for dispositive motions, if required. The parties shall file the Joint Status Report on or before November 27, 2019. Signed by Judge Trevor N. McFadden on 10/28/2019. (lctnm3) (Entered: 10/28/2019)
10/28/2019		Set/Reset Deadlines: Joint Status Report due by 11/27/2019. (hmc) (Entered: 10/28/2019)
11/22/2019	<u>8</u>	STIPULATION of Dismissal by SECURITIES AND EXCHANGE COMMISSION. (Cozza, Carin) (Entered: 11/22/2019)
11/22/2019		MINUTE ORDER. Upon consideration of the parties' <u>8</u> Joint Stipulation of Dismissal, this matter is DISMISSED with prejudice per the terms of the stipulation, with each party to bear its own costs, attorney fees, and expenses. The Clerk of Court is directed to close the case. SO ORDERED. Signed by Judge Trevor N. McFadden on 11/22/2019. (lctnm3) (Entered: 11/22/2019)